



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: L. Scott Rich, Ritchard L. Schacher and Daniel Berg

Application No.: 09/824,614

Examiner: N/A

Filed: April 2, 2001

Group Art Unit: N/A

Docket No.: 24,929

Title: METHOD AND APPARATUS FOR EFFICIENTLY REFLECTING COMPLEX  
SYSTEMS OF OBJECTS IN XML DOCUMENTS

---

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
U.S. Postal Service on July 10, 2001, in an envelope as First Class Mail, postage  
prepaid, addressed to: Commissioner for Patents, Washington, D.C. 20231, **Box Missing  
Parts**

Date July 10, 2001  
Denise Grisack

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION, mailed by the Patent Office on May 10,  
2001, Applicants enclose herewith:

A combined Declaration and Power of Attorney executed by  
L. Scott Rich, Ritchard L. Schacher and Daniel Berg on July 9,  
2001.


A copy of the Notice To File Missing Parts of  
Nonprovisional Application is attached.

Please charge any fees to International Business Machines

Corporation's, Deposit Account No. 09-0461. Copies of this Transmittal Letter are attached in duplicate.

Respectfully submitted,

7.10.01  
Date

  
Theodore Naccarella  
Registration No. 33,023

Synnestvedt & Lechner LLP  
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107  
Telephone: 215-923-4466  
Facsimile: 215-923-2189

Attorney for Applicants  
IBM Corporation.



Seery  
#3 #4

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,614	04/02/2001	L. Scott Rich	RSW9-2001-0073-US1

CONFIRMATION NO. 1069

## FORMALITIES LETTER



\*OC000000006062060\*

Theodore Naccarella  
Synnestvedt & Lechner  
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107-2950

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

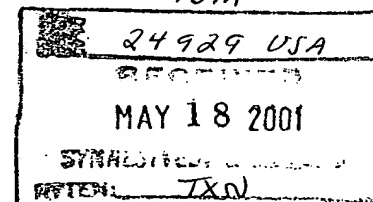
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

07/16/2001 AZERGAW1 00000133 090461 09824614

01 FC:105 130.00 CH





---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY